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THE SCHOOLS FORUM

**Wednesday, 16th October, 2013 at 5.30 pm in the Enfield County
School, Holly Walk, Enfield EN2 6QG**

Membership:

Councillors : Ingrid Cranfield (Deputy Mayor), Goddard (Headteacher Highland School), Ayfer Orhan (Cabinet Member for Children & Young People) and Rohini Simbodyal

- 1. AGENDA FOR SCHOOLS FORUM (Pages 1 - 52)**

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SCHOOLS FORUM

Meeting to be held from 17:30 on Wednesday 16 October 2013

Venue: Enfield County School, Holly Walk, Enfield EN2 6QG

(NOTE: Sangeeta Brown, Resources Development Manager - 07956 539613)

SCHOOLS MEMBERS

Maintained Schools:

Governors: Ms N Conway (Primary), Cllr I Cranfield (Primary), Mr B Grayston (Primary), Mrs J Leach (Special), Mrs L Sless (Primary), Mr T McGee (Secondary), Mr G Stubberfield (Secondary)

Headteachers: Mrs P Alder (Primary), Ms J Cullen (Secondary), Mr B Goddard (Secondary), Mr G Lefley (Pupil Referral Unit), Mrs S Moore (Primary), Mrs P Rutherford (Secondary), Mr P Smith (Primary), Mrs S Tripp (Special), Mr R Yarwood (Primary)

Academies: Mr M Lees, Ms R Stanley-McKenzie

NON-SCHOOLS MEMBERS

Chair of Children's Services Scrutiny Panel

Cllr R Simbodyal

Voluntary Sector

Mrs S Roberts

14-19 Partnership

Mr K Hintz

Teachers' Committee

Vacant

LA Lead Professional

Ms J Tosh

Head of Pupil Referral Unit

Mr J Carrick

OBSERVER

Cabinet Member

Cllr A Orhan

Education Funding Agency

Ms B Pennekett

**MEMBERS ARE INVITED TO ARRIVE AT 17:15 PM
WHEN SANDWICHES WILL BE PROVIDED
ENABLING A PROMPT START AT 17:30 PM**

AGENDA

(Target time)
(17:30)

1. INTRODUCTIONS and APOLOGIES for ABSENCE

2. DECLARATION OF INTEREST

Members are invited to identify any personal or prejudicial interests relevant to items on the agenda. A definition of personal and prejudicial interests has been attached for members' information.

(17:45)

3. MINUTES AND MATTERS ARISING FROM THE MINUTES (WHITE)

- (a) Schools Forum Meeting held on 11 July 2013 (*attached*)
- (b) Commissioning Group Meeting held on 13 September 2013 (*attached*)
- (c) Matters arising from these minutes

(17:55)

4. ITEMS FOR DECISION (BLUE)

- (a) Responses To Consultation On School Funding Arrangements (2014/15) (*attached*)

(18:15)

5. ITEMS FOR DISCUSSION (PINK)

- (a) Schools Budget 2012/13 Outturn Report (*attached*)
- (b) Schools Balances and Recycling of Balances for Financial Year 2012/13 (*attached*)
- (c) Schools Budget: 2014/15: Update (*attached*)

(19:00)

6. ITEM FOR INFORMATION

- (a) Additional Resource Provision (*attached*)
- (b) Letter to DfE – Schools with Falling Rolls (*attached*)

7. WORKPLAN (*attached*)

8. ANY OTHER BUSINESS

9. FUTURE MEETINGS

- (a) Proposed Dates for future meetings
 - 13 November 2013 – Induction training
 - 11 December 2013 – Venue to be confirmed
 - 15 / 22 January 2014 – Venue to be confirmed

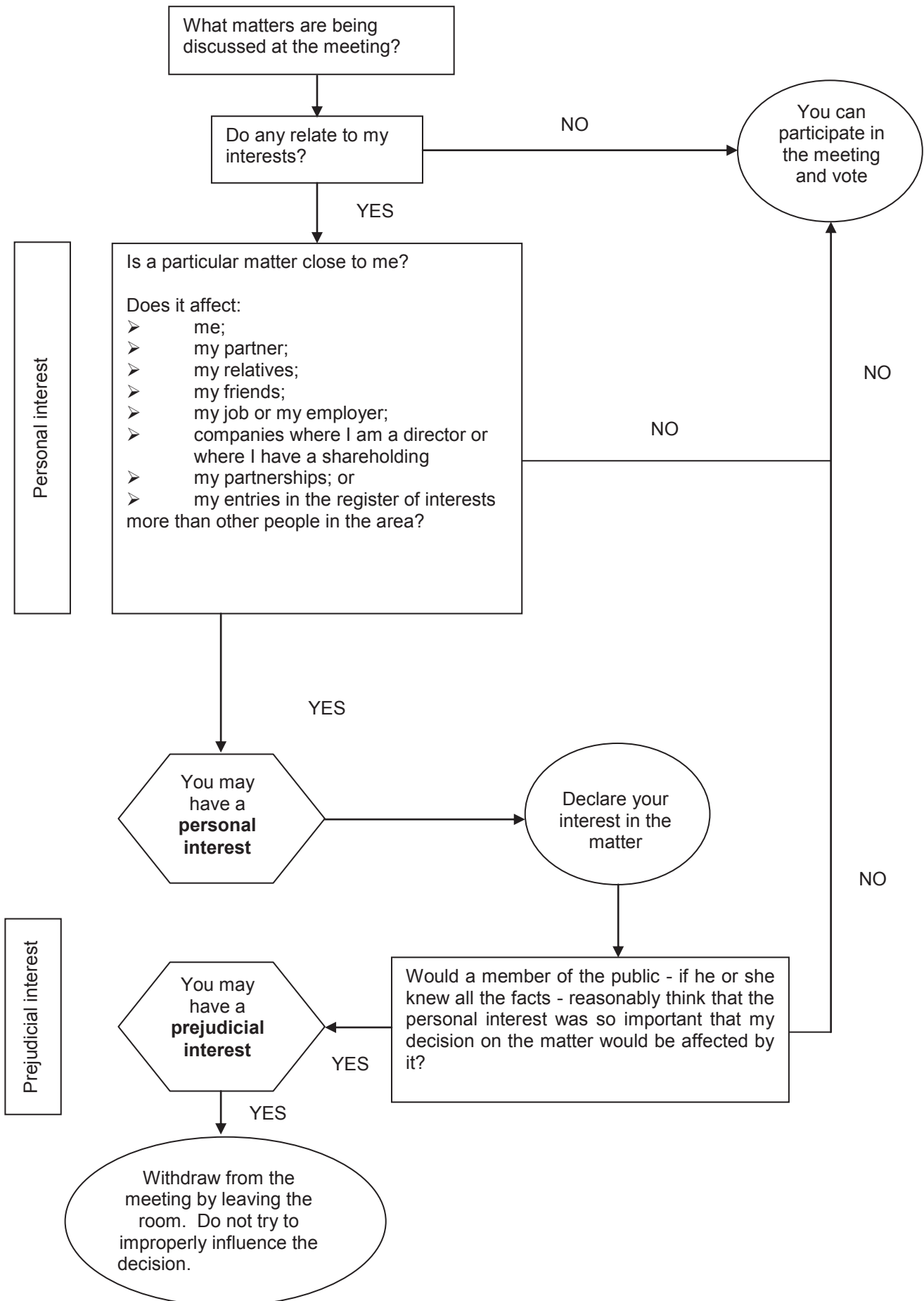
- (b) Apologies for absence from the proposed meetings

10. CONFIDENTIALITY

To consider which items should be treated as confidential.



DECLARING INTERESTS FLOWCHART QUESTIONS TO ASK YOURSELF



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MINUTES OF THE SCHOOLS FORUM MEETING
HELD ON 11 JULY 2013
AT ST PAULS SCHOOL

Schools Members

Governors:	Mr B Grayston(Primary),Ms N Conway(Primary),Mrs J Leach(Special), Mrs L Sless (Primary), <i>Mr G Stubberfield (Secondary), Mr T McGee</i> (Secondary), Cllr I Cranfield (Primary)
Headteachers	<i>Mrs P Alder (Primary), Ms J Cullen (Secondary), Mr B Goddard</i> (Secondary), <i>Mr G Lefley (Pupil Referral Unit)</i> , Mrs S Moore (Primary), Mrs P Rutherford (Secondary), Mr P Smith (Primary), Mrs S Tripp (Special), Mr R Yarwood (Primary)
Academies	Mr M Lees, <i>Ms R Stanley-McKenzie</i>

Non-Schools Members:

Chair of Children's Services Scrutiny Panel	Cllr R Simbodyal
Early Years Provider	<i>Mrs S Roberts</i>
14-19 Partnership	<i>Mr K Hintz</i>
Teachers' Committee	Mr R Gow
Assistant Director Education	Ms J Tosh
Head of Behaviour Support	Mr J Carrick

Observers:

Member	<i>Cllr A Orhan</i>
Education Funding Agency	Ms B Pennekett
Assistant Direct, Commissioning and Com. Engagement	Ms E Stickler
Finance Business Partner	Ms J Fitzgerald
Assistant Finance Business Partner	Mrs Y Medlam
Resources Development Manager	Mrs S Brown
Resources Development Officer	Ms J Bedford

Also Attending:

Head of Schools' Personnel Service	Ms S Fryer
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Italics denotes absence

1. INTRODUCTIONS and APOLOGIES for ABSENCE

Apologies for absence were received from: Mr G Lefley, Mrs P Alder, Ms R Stanley-McKenzie, Mr B Goddard, Ms J Cullen, Cllr Orhan, and Mr G Stubberfield.

Noted the absences of Mrs S Roberts, and Mr K Hintz.

Reported that Mr Gow was retiring and this would be his last meeting of the Forum. The Forum thanked Mr Gow for his contribution and support to the Schools Forum, as well as his services to schools in his role as Union Representative.

2. DECLARATION OF INTEREST

Noted: There were no declarations of interest.

3. MINUTES AND MATTERS ARISING FROM THE MINUTES(a) Schools Forum meeting held on 9 May 2013

Received and agreed the minutes of the meeting of the Schools' Forum held on 9 May 2013, a copy of which is included in the minute book.

(b) Matters arising for the Schools Forum meeting held on 9 May 2013(i) Item 7 (a) Audit Update – Briefing Note

Reported the update was presented to Member Governor Forum and Schools Business Management Forum. The information will be included in the Governing Bodies Termly briefing pack for the Autumn Term.

(ii) Induction Training

Reported the training was cancelled due to a low take up. A new date will be set for the Autumn Term.

(c) Commissioning Group Meeting held on 14 June 2013

Received and noted the minutes of the meeting of the Commissioning Group held on 14 June 2013.

4. ITEM FOR INFORMATION

Additional Resources Provision – The Forum agreed to take this item first as Ms Tosh had to leave the meeting early.

Reported the Schools Forum had previously requested a review be carried out on Additional Resources Provision (ARP) and the outcomes from the review be reported back to the Forum.

Ms Tosh confirmed the review of the ARPs was in progress and the outcome from the review would be presented to the Forum in the autumn term.

Noted:

- (a) An ARP had been set up at Carterhatch Junior School, due to organisational changes at the school, the School was now unable to accommodate on ARP. It was proposed, pending the outcome of the review, that a letter be sent to all schools seeking an expression of interest for a school to host this provision. The ARP would be used to support the work of the Behaviour Support Service for excluded pupils.

Members raised their concern that the ARP at Carterhatch Junior School supported the whole borough yet there was only one child on roll, and whether this provision was needed or was it because of the need to transport pupils to the ARP. It was suggested that if this provision was required then perhaps two ARPs in different locations be considered. It was stated that there was a need for this provision as primary schools had reported an increase in pupils with behavioural difficulties but there weren't sufficient resources currently to support two ARPs. In addition, it had been agreed with the Commissioning Group that no new ARPs would be commissioned until the outcome of the review had been published. It was intended, based on the outcome of the review, the Forum would be asked to consider an additional ARP as part of the budget setting process.

- (b) It was questioned if there was no expression of interest how would this be supported? It was stated that if a pupil was permanently excluded then the exclusion would be considered by the Fair Access Panel and another school would be identified for the pupil to attend. The pupil would attend the ARP until they were able to re-integrate into the new school.
- (c) It was questioned how schools were identified and accepted to host an ARP? It was confirmed that there was an agreed criteria for hosting an ARP and this was detailed in the letter sent to schools.
- (d) It was confirmed that the SATs results for pupils attending the ARP formed part of the overall score for the hosting school.
- (e) It was important to develop early intervention strategies. Schools were reporting an increase in the number of children with complex behavioural issues prior to starting school. It was stated this was a focus of the work within the Children's Centres.
- (f) As part of the two year old project, the Authority was set a target of developing nursery provision for 1,300 two year olds from deprived backgrounds and was working with schools and early years providers. Other initiatives working with families to address and support behaviour change include Family Turnaround programme funded by the Lottery to support six schools in Edmonton, and north east Enfield

A bid for £44m to work with the voluntary sector to support 0 – 3 year olds has been submitted.

Agreed: a copy of the letter and guidelines sent to schools would be appended to the minutes.

Action: Mrs Brown

5. ITEMS FOR DISCUSSION

(a) School Funding Review 2013/14

Received a paper providing a brief comparison of changes in funding between 2012/13 – 2013/14, a copy of which is in the minute book.

Noted:

- (i) The report was in a similar format to previous years, but had been amended to reflect the changes introduced by the School Funding Reforms.
- (ii) The report analysed funding for primary and secondary schools but not special schools. This was because special schools funding was based on a place plus approach and therefore not relevant to this analysis.
- (iii) The report highlighted a change in funding which effected the funding a school received on a per pupil or school level; the changes could include an increase or decrease in number of pupils' eligible for free school meals or pupil on roll. It was questioned whether schools could be protected from large losses? It was stated that under the previous funding arrangements the funding formula included a factor for cash protection, however this was no longer allowed under new national arrangements and this meant schools could not be protected from some of the losses.

The Forum discussed the impact of changes in pupil numbers and the number of pupils' eligible for free school meals on funding. It was noted that a number of secondary schools were seeing a loss due to a drop in pupil numbers. It was stated that the losses were in line with pupil projections which were forecasting a decrease in pupil numbers for the next few years for the secondary sector. The LA will work with and look at how to support schools to identify loss and help them through the change transition and the budget process.
- (iv) Information on the funding arrangements had been distributed to schools throughout the year and since the budget information was distributed to schools there had been no adverse comments. Where schools were experiencing losses, the Authority was supporting these schools with the actual / potential reduction in funding and to achieve a balanced budget.
- (v) It was acknowledged the report was a useful document and it was suggested that it would be beneficial for a narrative to be provided to explain the changes.

Clerks note: Ms Tosh left at this point.

Cllr Simbodyal and Ms Fryer arrived at this point.

- (vi) It was suggested it would be useful to have information on changes in pupil numbers on a frequent basis.

(b) School Funding Reforms: Phase 2 (2014/15)

Received a report providing an update on the School funding Reforms, a copy of which is in the minute book.

Reported on 4 June 2013 the DfE published a paper for the funding arrangements for years 2014/15. On 26 June 2013, the Chancellor outlined the details of the Comprehensive Spending Review (CSR) for years 2015/16.

Noted:

- (i) The DfE were seeking some minor changes to the funding arrangements for 2014/15 and as part of the CSR for 2015/16 would be considering the introduction of a national funding formula.
- (ii) The impact of the changes required for 2014/15 were being assessed and any proposals to amend the current arrangements would form part of a consultation process with all schools and academies.

The Forum noted the timetable for consulting and reporting on any proposals for amending the current funding arrangements.

- (iii) The Government were seeking for as much funding as possible to be delegated on a per pupil basis and had set a minimum threshold of 80% for 2014/15. It was confirmed that the local arrangements currently met this threshold.
- (iv) It was questioned with the introduction of the new threshold for 2014/15 for pupil mobility would this have an impact on LBE schools. It was stated it was unlikely many schools would attract funding through this factor because of the high level of growth in pupil numbers. It was confirmed the use of this factor would be assessed as part of the funding formula review. It was suggested that the effect of this change be included in the monitor arrangements for the funding formula.
- (v) It was questioned whether Looked after Children going directly into police custody were eligible to be included the funding arrangements identified for looked after children. It was this would be investigated as part of the review of the funding arrangements.

Agreed to assess whether Looked after Children going directly into police custody were eligible to be included the funding arrangements identified for looked after children.

Action: Mrs Brown / Mrs Medlam

Clerks note: Cllr Cranfield and Mr Yarwood left at this point.

6. ITEMS FOR DECISION

School Trade Union Facilities Funding 2013/14 – Ms Fryer presented this item

Received a paper proposing changes to the Schools Trade Union Facility agreement, a copy of which is in the minute book.

Reported the funding for the Schools Trade Union Facility time was covered by the maintained schools agreeing to de-delegating monies from their budgets. The current provision enabled schools to access trade union representatives.

Noted:

- (a) The current charging arrangements had not been reviewed for a number of years and Mr Gow's impending retirement has identified a need to review these arrangements to reflect the changes and growth in the workforce. Since the arrangements were introduced, schools have seen a growth in the number of support staff and also changes to teacher's payscale with the creation of the Upper Pay Range. The changes have meant the funding was not supporting the actual cost of releasing staff nor reflecting the provision required by each union. Schools' releasing staff for this provision had asked that the budget provision be amended to reflect the actual cost and the unions had sought a change to the allowance allocated to them.
- (b) The use of the service was reviewed and identified an increase in provision and also of cost if schools' releasing staff for union duties were to be funded on actual cost of releasing the staff for union duties; therefore there needed to be an increase in the budget provision, it was estimated the increase would be approximately 30%.

It was proposed that the change in how the schools were reimbursed be introduced from September 2013 and the Authority would meet the additional costs for 2013/14 but for 2014/15 the actual costs would need to be met from de delegated funding.

- (c) It was questioned how staff would be appointed. It was stated that the standard allowance for the largest union for constant support was 5 days, in addition to provision for Health & Safety and Union Representative Learning. More than one person could share the role but this was for the Union to decide who would be representing them.
- (d) Under the Terms and Conditions, teachers were awarded time away to build up on skill levels with the ability to act quickly on issues. It was stated the benefits of having local representation were to ensure consistency of practice and fairness for all schools and staff, also that issues were resolved quickly because the representatives were aware of the local context and known by schools and staff.
- (e) The funding provision did not include academies. The Authority was developing a traded service for this provision with academies to enable them to access a similar service provision. Members asked whether academies accessed this service. It was confirmed that academies used the service on similar proportion to maintained schools.

It was questioned why academies couldn't seek advice and representation as necessary from the regional body. It was confirmed that this option was available: however experience showed this was a far more costly option as the regional body charged for the service based on a daily rate, whereas the centralised provision enabled a cheaper option due to economies of scale.

Agreed to the changes to the provision and funding for schools trade union facilities and for cost of these changes to be included as part of the budget setting process for 2014/15.

Action: Ms Fitzgerald / Ms Fryer

7. WORKPLAN

Received the Workplan of the Schools Forum, a copy of which is included in the minute book.

8. FUTURE MEETINGS

(a) Date for the next meeting: Thursday 16th October at 5:30pm at Enfield County School

(b) Proposed dates for future meetings:

- 11 December 2013 – Venue to be confirmed
- 15 / 22 January 2014 – Venue to be confirmed

(c) A date for an induction session would be arranged.

Action: Mrs Brown

9. CONFIDENTIALITY

Resolved: none of the above to be regarded as confidential.

MINUTES OF COMMISSIONING GROUP MEETING 13 September 2013

Membership:

Eve Stickler (Chair), Tricia Alder, *Janet Cullen*, *Bruce Goddard*, Julie Messer, Sally Moore, Pam Rutherford, Paul Smith, *Sue Tripp*, Richard Yarwood, *Jenny Tosh*, *Claire Whetstone*, *Marie Janaway*, *Apu Alam*, *Jayne Fitzgerald*, Yvonne Medlam, Sangeeta Brown

Also attending: Louise McNamara, Clara Seery
cc Schools Forum, DMT

- *Italics denote absence*

1. Apologies for absence

Janet Cullen, Bruce Goddard, Sue Tripp, Claire Whetstone, Jayne Fitzgerald, Marie Janaway and Apu Alam,

2. Minutes of the last meeting and matters arising

(a) The minutes of the meeting held on 13 September 2013 were agreed.

(b) Matters arising;

School Funding Reforms: - It was questioned whether adopted children were considered to be Looked after Children and if schools were informed.

Agreed to provide clarification on this issue.

Action: Eve Stickler

3. MIS Systems

Clara Seery attended for this item.

Reported Pearson E1 had confirmed to the Authority that they were withdrawing from the MIS market in the UK. They would cease to provide the MIS product from September 2014. Pearson was in negotiation with another provider to transfer their current contracts which still had another year to run. The Authority was aware most primary schools were using an external provider to provide licenses for E1 as well as ICT support.

Noted:

- (a) The Authority were advised of the withdrawal in the summer but were advised by E1 not to inform schools as E1's contract was with the external provider and E1 needed to inform the external provider first, together with how E1 were going to continue to meet their contractual obligations then the external provider would need to advise schools. It was confirmed by members that they had not received any correspondence from the external provider;
- (b) E1 were aware that they were in breach of their contract but their contractual obligations were to the external provider and not directly to schools. There was one secondary school that had purchased the MIS direct with E1 and E1 would negotiate with this school directly;
- (c) The group were shocked at this news and questioned what options were available to schools and how would the Authority support schools going forward. It was suggested that the School Improvement Service and the Enfield City Learning Centre could host an Event for schools and ask the various MIS providers to present their systems and products. Both services were researching the market and were in position to support schools in this way. In the meantime, schools needed to begin to start identifying their needs and how the needs could be met from a system. From previous experience, it was very important to ensure the specification was comprehensive and identified requirements so as to safeguard against problems at a later date;
- (d) Recently the Cabinet Office had conducted a mystery shopper exercise on MIS products in schools and had questioned the procurement processes which had been used to award contracts. It was advised going forward that schools needed to be mindful of the contract procedural rules and ensure these were followed for the new contract;

- (e) As the system needed to be up and running before the October Census date, this meant, in practice, the system would need to be installed, tested and up and running before the end of the Summer term;
- (f) It was questioned if the withdrawal also impacted on the financial management system. It stated it was uncertain if this was the case;
- (g) The reason given by Pearson as to taking this action was because there were too many changes being introduced by the Government.

Agreed the Authority would:

- write to schools and appraise them of the situation
- host an Event for schools for the various MIS providers to present their systems and products.

ACTION: Clara Seery

4. **School Funding Reforms: Arrangements for 2014/15 – Draft Consultation Document**

Received a draft copy of the School Funding Reforms: Arrangements for 2014/15 – Consultation Document.

Reported, following a review of the DfE requirements and the arrangements currently in place, the draft document contained proposals for amending the local funding arrangements for 2014/15.

Noted:

- (a) The proposals contained in the document aimed to ensure statutory requirements were met and also any changes created minimum turbulence in funding at individual school level;
- (b) Enfield's AWPU unit rate currently exceeded the Government's minimum threshold;
- (c) Whilst there was an increase in the pupil premium, there was a concern that the number of pupils eligible for free school meals was going down. It was stated the Authority was monitoring this situation. It was still unclear how free school meals eligibility would be measured with the introduction of the universal credit;

If there was a reduction in the number of pupils attracting funding for deprivation then consideration would be given to raising the unit rate. The review was suggesting some variation to the unit rate due to the changes being introduced for funding mobility;

- (d) The impact of the changes to the prior attainment factor for primary schools had not been assessed as the data was not available, but it was envisaged it should not be significant as it only effected one cohort.

The change being introduced for secondary was requested by local authorities last year but had not been accepted by the Government. It was now being introduced and the review indicated the impact would lead to a significant increase in the number attracting funding through this factor. To manage the increase, the total funding allocated against this factor was kept the same which had led to a reduction in the unit rate;

- (e) If the changes to LAC were implemented at the same unit rate then this would require a small increase in the total funding available for this factor;
- (f) There was a change to the secondary unit rate for EAL due to the effect of the changes to the mobility factor;
- (g) The introduction of the threshold for pupil mobility meant fewer schools and pupils were now eligible for funding through this factor. It was stated that data from EFA included some anomalies, e.g. schools with a phased in-take; to address these anomalies the regulations for 2014/15 allowed for local authorities to validate and revise the data to reflect local knowledge. This had been done for the data set for one schools and which was now seeing a loss in funding. It was commented that if the pupils appeared on the October Census and were attracting the main delegated funding then they could not be considered to be mobile;
- (h) The use of the lump sum was reviewed and consideration was given to introducing a lower lump sum for secondary schools but it was decided not to change the current arrangements as the impact for some secondary schools that were seeing a reduction in pupil numbers was not fully known;

- (i) The illustrative model used rates based on the budget available for 2013/14 and the final rates would not be confirmed until the DfE had provided the actual data for 2014/15 and the Government had made their budget announcement;
- (j) There was a requirement to continue to have a minimum funding guarantee. This had been set at -1.5% per pupil. This had been included in the illustrative modelling and supported the proposed funding arrangements but not the continued effect of the minimum funding guarantee for 2013/14. The model also made an assumption that a cap for gaining schools would be set at 1.5% in order to support schools seeing a loss in funding;
- (k) It was questioned whether the funding which would be provided would support the effect of the pay award. It was confirmed that as part of the budget setting process for 2013/14 this had been identified as a cost pressure and some funding had been included. For 2014/15, this would need to be considered as part of the budget setting process, together with all the other pressures e.g. SEN;
- (l) The proposal to support schools with significant fall in rolls had been included because the factor introduced by the DfE only supported schools which were judged by Ofsted as good or outstanding. This factor did not support the three Enfield secondary schools in this position. It was questioned why these schools needed to be supported. It was stated that pupil number projections were showing a drop in the total number of secondary aged pupils over the next 18 months to 2 years but then a significant increase in a couple of years when the increase being experienced in primary moved to secondary. For this reason, the LA considered it was important to provide these schools with financial stability and have safeguard to support these schools. The consultation was seeking views on this principle and a proposal was being developed for consideration by the Schools Forum representatives;
- (m) The change of using places rather than pupils for the ARPs to calculate the total number of pupil on roll for the pupil census would impact one school. This school had a significant number of vacant places in their ARP;
- (n) The proposals included in the consultation document were for revenue funding provided through the DSG and did not include the capital arrangements in place for supporting expanding schools;
- (o) Due to the timing of the next meeting of this group and that of the Schools Forum, the group were advised that the Schools Forum would be provided with a verbal feedback of the discussion and comments from the Commissioning Group.

Agreed:

- the proposals for changing the funding arrangements
- the consultation document would include an example of how the changes effected one school and also the breakdown of the illustrative impact for individual school. The illustrative breakdown would also include information on the pupil premium.

ACTION: Sangeeta Brown / Yvonne Medlam

5. Workplan

Noted the additional items to be included on the workplan.

ACTION: Sangeeta Brown

6. Any other business

7. Dates of meetings for the Commissioning Group

Dates of the subsequent meeting confirmed as:

Date	Time	Venue	Comment
19 July 2013	8.15-10.15am	Highlands School	Cancelled
13 Sep 2013	8.15-10.15am	St Paul's	
10 Oct 2013	14.00-16.00pm	Enfield County	Sally submitted her apologies for this meeting
06 Dec 2013	8:15-10:15am	TBC	
10 Jan 2014	8:15-10:15am	TBC	

MUNICIPAL YEAR 2013/2014 REPORT NO. 9

MEETING TITLE AND DATE:
Commissioning Group 10 October 13

REPORT OF:
Director of Schools & Children's Services

Contact officer and telephone number:
Sangeeta Brown – 0208 379 3109
E-mail: sangeeeta.brown@enfield.gov.uk

Item: 4a

<p>Subject: Funding Arrangements for Schools & Academies (2014–15): Results of Consultation & Proposed Changes</p>
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1. EXECUTIVE SUMMARY

The DfE undertook a review of the school funding arrangements introduced for 2013/14 and then published their requirements for 2014/15 based on the outcomes from the review.

Since the publication of the DfE's requirements, a local consultation documents was published detailing the principles and proposals for amending the local funding formula. This report outlines and makes recommendations for the proposed arrangements for funding maintained schools and academies following consultation.

2. RECOMMENDATIONS

To note and agree the recommendations contained in this report.

1 BACKGROUND

1.1 Dedicated Schools Grant

The DfE have confirmed that the DSG¹ will continue to be split into the three notional blocks: Schools Block, Early Years Block and High Needs Block. The areas covered by the three blocks are as follows:

- Schools Block – funds the budget shares in mainstream schools and academies based on the local funding formula (excluding High Cost SEN) and some centrally retained functions based on the spending plans which were in place during 2012/13;
- Early Years Block – funds the nursery education entitlement for all three and four year olds and disadvantaged two year olds based on the local funding formula and central early years provision;
- High Needs Block – funds all high cost SEN in all types of provision including pupils aged 16+ in schools, academies and FE institutions

This report will describe the proposals for amending the arrangements and factors to be used for allocating the funding from the three notional blocks.

The Authority, in considering and developing the proposals for 2014/15, continued to follow the principle agreed with the Schools Forum for 2013/14 of trying to minimise the turbulence in funding at individual school level resulting from the proposed changes.

It should be noted that this report will not consider nor comment on the issues related to the DSG including the de-delegation of centrally retained functions, these will be the subject of a separate report.

¹ DSG – Dedicated Schools Grant

2.2 Pupil Premium

The DfE have confirmed the arrangements for the Pupil Premium for primary aged pupils but further information is awaited for secondary aged pupils. It is estimated if the same methodology is used for both the primary and secondary aged pupils then the Pupil Premium for Enfield will increase from £16.9m to £24.4m for 2014 – 15. The methodology for allocating this funding will not change from that applied this year.

On 1 October 2013, the DfE published a press release to confirm that Children in care will receive £1,900 per pupil funding from the pupil premium. The effect of this change has not been included in the modelling for this report as no other information has been received.

It should be noted that the funding or arrangements for allocating the Pupil Premium has not been included in the proposals for the local funding formula outlined in this report.

2.3 Responses to Consultation

As reported previously, the DfE timetable for reviewing and publishing proposals for the local arrangements is very tight and with the agreement of the Schools Forum, the consultation period for receiving responses was two weeks.

At the time of writing this report, there was one response from a primary school in support of the proposals contained in the consultation document.

2.4 This report outlines and makes recommendations on the proposed arrangements for local funding formula for mainstream maintained schools and academies and also for High Needs pupils in special schools and other specialist provision as contained in the consultation document.

3. Schools Block - Local Funding Formula

3.1 The reforms introduced for 2013/14 required funding for schools and academies be calculated using the pupil data collected from the October Pupil Census. The DfE prescribed the factors which were available for use and the table below lists the factors which were used for the local funding formula for 2013/14:

Factors Used in the Local Funding Formula

Age Weighted Pupil Unit *
Deprivation *
Looked After Children *
Special & Additional Educational Needs *
English as an additional language *
Mobility *

Lump Sum

Split Site

Rates

Private Finance Initiative

** Factors used to calculate the per pupil funding*

3.1.2 For 2014/15, the Government have confirmed their aim continues to be for as much funding as possible is delegated on a per pupil basis; this includes a requirement for a minimum of 80% of the funding to be delegated on a per pupil basis. This is a change from 2013/14, when there was no minimum requirement.

The definition of what constitutes 'per pupil basis' is those factors which relate to an individual pupil's circumstances as identified in the shaded area in the above table.

The Authority can confirm that Enfield's funding formula for 2013/14 met the requirement for a minimum of 80% of the funding being delegated on a per pupil basis.

3.1.3 Paragraph 3.2 below describes the current use of the individual eligible factors for the local funding formula and also any proposals for changes to the individual factors for 2014/15. The

proposals have been modelled to assess the effect and these are detailed on a school by school basis in appendix A, using the budget and dataset used for 2013/14. To ensure the key principle for least turbulence is maintained, the unit rates used for the individual factors will not remain the same as in 2013/14 because of the resulting effect of the changes required for each of the formula factors.

It should also be noted that the unit rates used for the modelling will be subject to change as the actual data set for 2014/15 becomes available from the EFA² and the budget available.

3.2 Local Funding Formula

3.2.1 Age Weighted Pupil Unit

For 2014/15, there is a requirement that the minimum rate for the AWPU³ should be:

- Primary - £2,000
- Secondary (KS3 & KS4) - £3,000

This requirement supports the Government's principle that the funding formula should be pupil led. The local arrangements already delegate a considerable amount of funding through the AWPU so this should not be an issue for Enfield. The table below shows the 2013/14 AWPU allocations were significantly above the minimum threshold for 2014/15:

Sector and Key Stage	AWPU for 2013/14
Primary KS1 & KS2	£3,399
Secondary KS3	£4,346
Secondary KS4	£4,774

The Authority will monitor the final position to ensure the national requirements are met.

3.2.2 Deprivation

For 2014/15, there is a requirement that schools with high level of pupils from a deprived background continue to be supported and where additional funding is available that it is used as appropriate to support these schools.

In 2013/14, funding was allocated for deprivation was allocated on the number of pupils eligible for FSM⁴ and the use of IDACI⁵. The same criteria will be used for 2014/15 allocations.

3.2.3 Prior Attainment

The prior attainment factor will continue to be used to support additional educational needs and low level special education needs. The DfE have confirmed:

Primary Sector

The Early Years Foundation Stage Profile⁶ will continue to be a measure for prior attainment. However, with the introduction of a new framework for Early Years and the outcome of their review of the funding reforms for 2013/14, the DfE are proposing the continued use of EYFSP with some changes for 2014/15. For the 2013 assessments funding for low prior attainment will be allocated based on pupils who have not achieved the expected level of development in all 12 prime areas of learning including maths and literacy.

In 2013/14 funding was allocated based on all pupils who did not achieve 73 points in the EYFSP. In 2014/15 this criteria will continue for the 5 older year groups who were assessed under the old profile but funding for the youngest cohort will be based on pupils who did not achieve the expected level of development in the 12 prime areas of learning.

The DfE have not supplied the data for the youngest cohort based on the new profile assessment so the Authority has not been able to assess the impact. It is anticipated for

² EFA – Education Funding Agency

³ AWPU – Age Weighted Pupil Unit

⁴ FSM – Free School Meals

⁵ IDACI – Income Deprivation Affecting Children Index

⁶ EYFSP – Early Years Foundation Stage Profile

2014/15 the impact will not be significant because this change will only apply to 1 out of 6 cohorts. When the 2013 data is received, it will be modelled within the funding formula in order to achieve minimum funding variance for primary schools. This information, when available, will be presented to the Schools Forum.

Secondary Sector

In 2013/14 the criteria for low prior attainment was based on pupils who did not achieve a level 4 in both English **AND** Maths at KS2. Nationally the number of pupils failing to achieve a level 4 in both English and maths has reduced significantly in the last couple of years, so the revised criteria includes a wider and more appropriate proportion of the pupil population.

For 2014/15, KS2 assessment data will continue to be a measure for prior attainment, but for 2014/15, the arrangements have changed to include pupils who do not achieve a level 4 in English **OR** Maths. With effect from 2013 the assessment data for English will be based on those pupils not achieving Level 4 in either reading or writing.

The Authority received revised data for prior attainment based on the new criteria from the DfE and this has been used to assess the impact of the change. The assessment indicates that the change will mean more pupils will attract funding through this factor. The increase in the number of pupils is from 2,042 (12%) to 4,580 (26%).

It is proposed, for 2014/15, to continue to use this factor and it should be noted.

3.2.4 Looked After Children

For 2014/15, the DfE have recognised that all LAC⁷ require support and have proposed funding be allocated to support all children who have been looked after whether for a day or longer.

For 2013/14, authorities were given a choice of selecting one of three indicators for LAC; there were all those on the return, those who had been looked after for at least 6 months and those who had been looked after for at least a year. The local formula allocated funding for children who had been 'looked after' for a period of 6 months or more.

The change to support all children who have been looked after will result in an increase in the number of pupils attracting this funding; for 2014/15 it is estimated that an additional 20 pupils will attract this funding. As it is important that sufficient resources are allocated to support the achievement of these pupils, it is proposed, for 2014/15, to continue to allocate funding using this factor and that there is no change to the unit rate currently used. The cost of this proposal will be assessed as part of the budget setting process.

3.2.5 English as an Additional Language

For 2013/14, authorities had a choice of allocating funding for pupils with EAL⁸ who were in the first three years of their education in the British System with the choice of allocating funding based on one, two or three years. The local formula was based on three years.

For 2014/15, the DfE have confirmed they will not be making any changes to this criterion. It is proposed, for 2014/15, to continue to use this factor.

3.2.6 Pupil Mobility

For 2013/14, authorities were able to allocate funding for all casual admissions based on data received from the DfE of all pupils who had entered the school in the last 3 academic years after the usual start date i.e. September.

For 2014/15, the DfE have confirmed that schools with high levels of pupil mobility can continue to be supported. However, the DfE, following their funding review, have stated that the current factor does not fund sufficiently those schools with very high levels of mobility and have introduced the application of a 10% threshold. This will mean only those schools with mobility

⁷ LAC – Looked After Children

⁸ EAL – English as an Additional Language

rate above 10% will be eligible for this funding.

An assessment of this change has been carried out; it shows that there would be a significant reduction in the number of pupils attracting funding through this factor with:

- primary reducing from 2,783 to 572 (65 to 22 schools);
- secondary reducing from 1,022 to 60 (17 to 2 schools).

It is proposed, for 2014/15, to continue to maintain this factor and ensure schools with high level of mobility continue to be supported for the turbulence created by the movement in pupils.

It should be noted that where the data sets provided by the DfE are not representative for individual schools, local authorities can use a local estimate based on an agreed methodology. Further analysis of the mobility data has been carried out and has indicated that the use of this factor could be triggered by a school phasing in the intake of pupils rather than genuine casual admissions and where this has been the case the data used for the modelling has been amended to remove the effect of phased intake. Therefore, it is proposed when the 2013 Census data is received from the DfE, this analysis is repeated and the data amended where necessary for individual schools.

3.2.7 **Lump Sum**

For 2014/15, the maximum lump sum allowable for the local funding formula has been reduced from £200k to £175k, and there is now local flexibility to have different amounts between phases. Furthermore, where two schools are merging, they will be able to keep 85% of both the lump sums following the year in which they merge.

Currently, both primary and secondary schools receive a lump sum of £162k (which includes £12k of funding to support exceptional needs pupils).

As part of the review, it was considered whether to re-instate a differential between the primary and secondary lump sum which had been the arrangement for the funding formula prior to the changes introduced for 2013/14. The outcome of the modelling indicated that maintaining a lump sum of £162k across both sectors enabled the minimum variance to be achieved overall. Therefore, no change to the lump sum is proposed for 2014/15.

3.2.8 **Summary of Unit Rates**

As detailed in paragraphs 3.2.1 – 3.2.7, each of the formula factors have been reviewed to ensure they meet the new statutory requirements. To ensure that there is minimal turbulence at individual school level, officers have worked on various scenarios using 2013/14 data as supplied by the DfE and also 2013/14 prices. The table below provides an illustration of the changes which will occur to the unit rates with the implementation of the proposals. The unit rates that have changed have been highlighted.

Factor	PRIMARY (£)		SECONDARY (£)	
	Actual Unit Rate 2013/14	Proposed Unit Rate 2014/15	Actual Unit Rate 2013/14	Proposed Unit Rate 2014/15
AWPU – Prim	3,399	3,422	0	0
AWPU – KS3	0	0	4,346	4,335
AWPU – KS4	0	0	4,774	4,763
AWPU – 6 th Form	0	0	248	248
FSM	1,191	1,253	1,458	1,771
IDACI – band 4	0	0	50	50
IDACI – band 5	121	115	65	65
IDACI – band 6	121	116	101	101
LAC	1,208	1,208	1,208	1,208
AEN/SEN	705	705	2,462	996
EAL	396	396	871	1,202
Mobility	504	553	1,108	1,108
Lump Sum	162,000	162,000	162,000	162,000
Split Site	0	0	164,086	164,086

Following the receipt of the final data from October 2013 Census and the Government's final budget announcement, the proposed local funding formula will be further reviewed to ensure it continues to minimise any turbulence in funding and provides a best fit. The final unit rates will be confirmed and reported to this Forum before they are forwarded to the DfE.

When considering these proposals, it should be noted that the illustrative modelling is only based on the changed criteria for the mobility and AEN factors. The actual budgets for 2014/15 will use the recorded pupil numbers and associated data from the October 2013 Pupil Census as provided by the EFA and this may lead to changes to unit rates resulting from further modelling work. Furthermore, the 2014/15 budgets will include the on-going effect of the minimum funding guarantee.

3.2.9 **Minimum Funding Guarantee**

The DfE have confirmed that in the move towards a national funding formula, they require local authorities to protect the per pupil funding provided to schools from one year to the next against any significant changes in the funding formula or data. For 2014/15, the DfE have advised authorities are required to continue to operate a MFG⁹. The MFG has been set at -1.5%, which is the same level as that set for 2013/14.

In the illustrative modelling, it has been assumed that we will continue to operate a funding cap of 1.5% per pupil for schools gaining through the funding formula changes, in order to support the MFG requirement.

It should be noted, however, that even without any changes to data or unit rates many schools will have expected to experience changes in the MFG/funding cap as indicated in the 3 year budget plan distributed in March 2013. The principle of the MFG is that eventually it will fall out as new funding arrangements are embedded at individual school level.

3.2.10 **Schools with Falling Rolls**

Previously, the local funding formula had a factor to support schools and ease the impact of falling rolls but when the School Funding Reforms were introduced this year, the DfE removed this factor from the list of available factors.

For 2014/15, a new factor for providing financial supporting to schools with falling rolls has been introduced. The use of this factor is support good or outstanding schools with falling rolls in exceptional circumstances. The exceptional circumstances include a temporary drop on the pupil population. Unlike the other factors, this factor is specifically for maintained schools and not academies and if used requires the agreement of the primary and secondary representatives on the Schools Forum.

The current pupil projections are showing that whilst the pupil numbers are increasing for primary aged that there is a short term decline for secondary aged pupils. It is projected this decline will be for the next three years, after which the increases being experienced for primary aged pupil will also be seen for secondary.

Currently, there are two secondary schools and one academy with declining pupil numbers and facing the consequent financial difficulties. Unfortunately, the introduction of the new factor will not support these schools. However, the regulations do enable arrangements to be put in place to support schools in financial difficulties and would lend themselves to introduce a factor to support schools with falling pupil rolls.

The sector representatives are asked to agree on the proposed principle to have protection arrangements for schools in financial difficulties due to a significant fall in pupil numbers.

3.2.11 **Growth Fund**

The Growth Fund provides allocations to expanding schools. The Authority have specific criteria

⁹ MFG – Minimum Funding Guarantee

that schools must meet to access the growth funding and agreed methodology for allocating the funding which was agreed by Schools Forum.

During 2013/14 the agreed methodology has been used to allocate funding to expanding schools and has identified the need for some minor amendments to the criteria to ensure that the needs of expanding schools continue to be met. It is proposed to change the criteria as follows:

- a contribution to schools to allow for management time and other expenses in the lead up period to the expansion
- Additional rates cost where offsite premises are being used

Appendix B details the new criteria for allocating funding from the Growth Fund.

5.1 **Early Years Block**

- 5.1.1 At this stage, the Authority has not been informed of any changes to the arrangements for funding three and four year olds accessing the free nursery education entitlement.

5.2 **High Needs Block**

- 5.2.1 For 2014/15, the Government have confirmed that there are no significant changes planned for the High Needs Block. This is to allow the new arrangements introduced in 2013/14 to become embedded. The following points should be noted

- With effect from 2014/15 there will be a national requirement that the level of school's contribution towards each exceptional needs pupil should be set at £6k. Enfield's formula already allocates funding at this level;
- The DfE and EFA will ensure that pre and post 16 arrangements are better aligned. This development is welcomed as caused delays and confusion in the 2013/14 budget process;

- 5.2.2 For 2013/14, funding for pupils with high complex special educational needs was provided through the High Needs Block.

The following section describes the arrangements which have been in place for funding the institutions in supporting these pupils during 2013/14 and also any changes for 2014/15:

(a) **Special Schools**

Special Schools have been funded on a 'place plus' approach based on the number of places agreed with the Local Authority and the EFA. The calculation of the funding entails schools receiving an element of:

- guaranteed base funding
- top funding for the actual pupils in attendance;

The funding for the two elements is calculated as follows:

Base Funding: For all the places agreed at each school, schools received a nationally agreed rate of £10,000 for a pre-16 place and £10,977 for a post 16 place, irrespective of whether the place was vacant or not.

Top-Up Funding: For every child in attendance, schools have received funding based on the difference between the agreed total cost of the placement and the guaranteed base funding of £10,000 or £10,977. In Enfield, the top up funding for each school was based on an agreed average.

Top-Up Funding was only payable if a pupil was on roll and filling an agreed place. If the pupil ceased to be on the school roll and the place becomes vacant then the top up funding ceased. The change in the number of pupils on roll has been monitored and the funding adjusted on a daily basis and actioned on a termly basis.

To ensure stability in funding, schools were protected for 2013/14 and have received guaranteed a minimum level of funding of 97% of the total of all the agreed places.

For 2014/15, no changes to these arrangements are proposed.

(b) Outreach

For 2013/14, outreach work in special schools has been a commissioned service with schools receiving a block allocation of £111,968.

For 2014/15, no changes to these arrangements are proposed.

(c) ARPs (including Speech and Language Units)

For 2013/14, ARPs have been funded on a 'place plus' approach based on the number of places agreed with the Local Authority and the EFA. The calculation of the funding entails schools receiving an element of:

- guaranteed base funding
- top funding for the actual pupils in attendance;

The funding for the two elements is calculated as follows:

Base Funding: For all the places agreed at each school, schools received a nationally agreed rate of £10,000 for a pre-16 place and £10,977 for a post 16 place, irrespective of whether the place was vacant or not.

Top-Up Funding: For every child in attendance, schools have received funding based on the difference between the agreed total cost of the placement and the guaranteed base funding of £10,000 or £10,977.

Top-Up Funding was only payable if a pupil was on roll and filling an agreed place. If the pupil ceased to be on the school roll and the place becomes vacant then the top up funding ceased. The change in the number of pupils on roll has been monitored and the funding adjusted on a daily basis and actioned on a termly basis.

If schools admitted pupils above their agreed number of places the financial adjustment was based purely on the AWPU value as the increase in costs to the school was assumed to be at the margins.

Change to Pupil Number Adjustment

For the 2014/15, the DfE have confirmed that for a school with a special unit (ARP or Language Unit), the pupil numbers used to calculate the 2014/15 budget share for the main school will be the total number of pupils on roll as reported on the October 2013 Census **less the number places agreed for the special unit**. This is a change to the methodology used in 2013/14 where the adjustment was made for the number of **pupils** on roll at the unit rather than the number of places.

The majority of special units in Enfield are full/nearly full so for most schools with units this will not be a significant issue but for any schools with a large number of vacancies in their unit this change in methodology will result in a reduction in funding through their schools block formula allocation.

The actual pupil number adjustment in 2013/14 and the modelling is based on October 12 census data. The actual 2014/15 formula allocations will be based on October 13 pupil number data less the agreed number of places in each special unit. This may result in funding variances.

(d) Nurture Groups

For 2013/14, Nurture Groups in mainstream settings were agreed and funded as a commissioned service from the Authority. The purpose of the Nurture Groups has been to provide additional intervention programmes for individual pupils on the school roll. In 2013/14 schools that currently have Nurture Groups will receive a lump sum allocation of £59,710.

For 2014/15, no changes to these arrangements are proposed.

Schools Block – Growth Fund: Mainstream School Expansions

Funding will be retained in the Growth Fund to support schools that are permanently expanding and those that are admitting bulge classes.

(a) Criteria to Access Growth Funding

A school will trigger growth funding if it:

- permanently expands by 1 or more forms of entry
- admits a 'bulge' class
- is a secondary school opens primary classes
- is managing an off-site temporary provision

(b) Methodology for Allocations

Funding will be allocated to schools who meet the above criteria on the following basis:

(i) In-year Funding Adjustment for Bulge Class or Additional Class admitted at start of permanent expansion

In the financial year the new class opens, the school will receive an in-year budget adjustment to reflect the anticipated increase in pupil numbers.

For primary schools, the adjustment will be based on the planned additional pupil numbers X primary AWPU rate X number of months class has been open

For secondary schools admitting primary classes, the adjustment will be based on the primary AWPU but the allocation will be from April rather than September. This will allow sufficient additional funding to cover the extra costs that a secondary school would incur in terms of management time, admin staff and general resources.

Schools may also be entitled to a contribution to reimburse them for management time/other expenses in the lead up period to the expansion.

(ii) September Funding Adjustment for Permanent Expansions

Schools that are permanently expanding will receive a funding allocation to reflect the additional class they are required to open each September until the expansion is complete. This allocation will be based on the additional pupil numbers X primary AWPU X 7/12 to reflect the period September to March.

(iii) Protection for Expanding Schools

In the 3 financial years following the start of a permanent expansion or admission of a bulge class, schools will be protected as follows:

- Year 1 – protection to 30 pupils
- Year 2 – protection to 20 pupils
- Year 3 – protection to 15 pupils

If the number of pupils recorded on the October census prior to the financial year is below the numbers shown above, additional AWPU funding for the difference in numbers will be allocated to schools to provide some financial stability and a known minimum level of funding.

(iv) Off Site Facilities

Schools that expand using off site facilities will receive the following funding allocations to reflect the additional costs that they will incur.

- Split Site Allocation (£25k in 2013-14)
- Rent Allocation (Based on actual)
- Rates Cost (Based on Actual)
- Any additional costs specific to individual provision (e.g. minibus £20k)

ILLUSTRATIVE ALLOCATION FOR 2014/15 BASED ON NEW CRITERIA WITH OCTOBER 2012 CENSUS DATA

Col (1)	Col (2)	Col (3)	Col (4)	Col (5)	Col (6)	Col (7)	Col (8)	Col (9)	Col (10)	Col (11)	Col (12)	Col (13)	Col (14)	Col (15)	Col (16)	Col (17)	Col (18)	Col (19)	Col (20)	Col (21)
Factor:	AWPU		FSM	IDACI	AEN	MOBILITY	LAC	EAL	LUMP SUM	SPLIT SITE	PFI	RATES	INDICATIVE TOTAL 2014/15 PRE MFG	MFG	INDICATIVE TOTAL 2014/15 POST MFG	TOTAL 2013/14 Actual Allocation	TOTAL VARIANCE	ESTIMATED INCREASE PUPIL PREMIUM 2014/15		ESTIMATED OVERALL FUNDING VARIANCE
School	Yrs 7-11	6th form																Ever 6	400	*
AYLWARD	5,447,797	47,427	1,002,510	81,783	542,333	0	6,002	228,597	162,000	0	0	45,909	7,564,357	0	7,564,357	7,623,960	15,784	796	318,310	334,094
BISHOP STOPFORD	3,716,735	43,206	308,192	47,616	182,392	0	2,318	33,702	162,000	0	0	35,352	4,531,513	(25,023)	4,506,490	4,540,951	62,028	258	103,189	165,217
BROOMFIELD	4,570,359	40,475	489,810	45,584	331,091	0	3,323	120,705	162,000	0	0	27,742	5,791,087	0	5,791,087	5,794,479	72,428	483	193,252	265,680
CHACE	4,753,815	63,567	403,455	28,567	300,518	0	8,395	26,498	162,000	0	0	227,088	5,973,902	0	5,973,902	5,947,448	(33,363)	390	155,900	122,537
EDMONTON CTY	6,038,448	54,132	778,175	67,439	422,957	0	3,633	103,853	162,000	164,086	0	172,341	7,967,064	0	7,967,064	7,991,282	65,512	649	259,799	325,312
ENFIELD CTY	4,164,986	45,937	382,583	29,107	134,026	0	4,797	18,072	162,000	164,086	0	150,737	5,256,332	(822)	5,255,510	5,226,346	71,223	271	108,367	179,590
ENFIELD GRAMMAR	4,077,917	49,910	244,428	24,033	148,639	0	2,419	25,330	162,000	164,086	0	24,108	4,922,871	0	4,922,871	4,928,763	(213)	221	88,509	88,296
HIGHLANDS	5,562,269	71,513	213,625	5,027	191,772	0	4,834	10,890	162,000	0	524,053	326,515	7,072,498	0	7,072,498	7,090,993	(18,495)	189	75,620	57,125
KINGSMEAD	5,402,420	59,843	499,484	62,306	333,481	0	6,088	54,144	162,000	0	0	35,843	6,615,607	27,299	6,642,906	6,625,656	(98,721)	599	239,416	140,695
LATYMER	4,094,828	114,968	60,221	16,913	0	0	0	16,980	162,000	0	0	29,215	4,495,124	0	4,495,124	4,494,491	633	77	30,615	31,248
LEA VALLEY HIGH	5,160,637	57,608	756,100	57,596	423,264	16,705	3,764	135,729	162,000	0	481,835	324,060	7,579,298	0	7,579,298	7,624,745	(110,021)	699	279,511	169,489
NIGHTINGALE ACADEMY	3,649,793	31,039	586,273	54,529	358,291	0	4,810	182,959	162,000	0	0	37,562	5,067,255	47,985	5,115,240	5,093,352	(75,387)	500	200,147	124,760
OASIS HADLEY ACADEMY	3,419,138	53,138	687,233	44,084	321,417	49,293	3,997	132,241	162,000	0	0	33,879	4,906,420	117,605	5,024,025	4,901,331	(73,651)	552	220,822	147,172
SOUTHGATE	5,435,386	82,687	228,487	12,215	238,487	0	2,435	50,534	162,000	0	0	299,510	6,511,741	0	6,511,741	6,517,847	(6,105)	258	103,174	97,069
ST ANNES	3,956,542	41,219	315,277	47,148	174,312	0	2,350	24,071	162,000	164,086	0	20,818	4,907,824	(16,631)	4,891,193	4,822,220	68,973	294	117,728	186,701
ST IGNATIUS	4,072,726	46,931	267,454	42,329	141,305	0	0	38,684	162,000	0	0	25,778	4,797,206	(39,593)	4,757,613	4,743,167	65,722	252	100,814	166,536
WINCHMORE	5,378,606	68,534	561,476	43,893	319,700	0	6,017	68,770	162,000	0	0	149,755	6,758,750	0	6,758,750	6,738,841	2,202	535	213,917	216,119
SECONDARY	78,902,401	972,134	7,784,783	710,168	4,563,983	65,998		1,271,757	2,754,000	656,343	1,005,888	1,966,210	100,718,847	110,821	100,829,669	100,705,872	8,549	7,023	2,809,091	2,817,640

NB - Figures in brackets are minus figures

EFFECT OF CRITERIA CHANGES TO BUDGET ALLOCATIONS (Included in above)

Col (21)	Col (22)	Col (23)	Col (24)	Col (25)	Col (26)	Col (27)	Col (28)	Col (29)	Col (30)	Col (31)	Col (32)	Col (33)	Col (34)	
Factor:	MOBILITY					LAC	AEN							VAR
	Mobility 13/14 Data	Mobility 13/14 Allocation	Mobility Funding allocated on new factors	Mobility Funding Variance	Increase in LAC for one day count	% Pupils achieving below L4 in Eng AND Maths	% Pupils achieving below L4 in Eng OR Maths	Variance	Relativity	AEN 13/14 Allocation	AEN Funding allocated on new factors	AEN Funding Variance	Total Variance due to changes in criteria	
School	Total	>10%												
AYLWARD	9%	0%	116,309	150,716	34,407	2.401	24%	45%	21%	1.9	714,559	618,149	(96,411)	(59,602)
BISHOP STOPFORD	7%	0%	59,816	37,840	(21,976)	0	9%	22%	13%	2.4	186,516	199,053	12,537	(9,439)
BROOMFIELD	7%	0%	82,344	81,550	(795)	0	14%	33%	18%	2.3	360,552	357,951	(2,601)	(3,396)
CHACE	5%	0%	58,653	49,406	(9,247)	3,622	11%	29%	18%	2.6	285,679	317,814	32,135	26,511
EDMONTON CTY	6%	0%	88,484	97,211	8,727	0	15%	32%	16%	2.0	509,714	476,768	(32,946)	(24,219)
ENFIELD CTY	4%	0%	42,093	33,257	(8,836)	0	5%	15%	9%	2.7	124,118	162,939	38,822	29,986
ENFIELD GRAMMAR	5%	0%	46,523	30,145	(16,378)	0	7%	16%	10%	2.5	147,974	158,460	10,486	(5,892)
HIGHLANDS	4%	0%	59,623	28,369	(31,253)	0	6%	16%	10%	2.7	177,461	190,235	12,774	(18,480)
KINGSMEAD	4%	0%	54,277	63,993	9,715	0	13%	28%	15%	2.2	378,917	359,152	(19,764)	(10,049)
LATYMER	0%	0%	4,431	8,151	3,721	0	0%	0%	0%	0.0	-	(3,088)	(3,088)	633
LEA VALLEY HIGH	11%	1%	143,625	121,650	(21,974)	2,523	18%	37%	19%	2.1	502,395	476,507	(25,888)	(45,339)
NIGHTINGALE ACADEMY	9%	0%	80,862	104,955	24,093	3,607	23%	44%	22%	2.0	452,019	398,221	(53,798)	(26,098)
OASIS HADLEY ACADEMY	16%	6%	132,924	141,945	9,021	999	21%	43%	22%	2.1	383,064	378,133	(4,931)	5,089
SOUTHGATE	3%	0%	40,985	43,520	2,535	0	8%	20%	12%	2.4	244,290	235,650	(8,641)	(6,105)
ST ANNES	3%	0%	27,693	34,933	7,241	0	5%	20%	15%	3.8	113,473	191,836	78,363	85,603
ST IGNATIUS	2%	0%	17,723	34,296	16,573	0	5%	16%	10%	3.0	117,268	154,734	37,466	54,039
WINCHMORE	6%	0%	75,324	69,749	(5,575)	0	11%	27%	16%	2.4	329,173	354,657	25,484	19,910
SECONDARY			1,131,687	1,131,687	0	13,152					5,027,170	5,027,170	0	13,152

Indicative Budget Allocation is based on:
 -October 2012 October Census
 -Change in criteria :
AEN: Pupil achieving below Level 4 in English **OR** Maths rather than English **AND** Maths
Mobility : for schools with mobility above 10%
LAC: for pupils looked after for 1 day rather than 6 months
NOTE: the allocations will change when data from October 2013 is applied

****** This is the variance due to changes in criteria as discussed in this document, which schools are being consulted on.
***** This is the variance between the 13-14 formula allocation and indicative 14-15 formula allocation.
 The difference between the variances in col(16) and Col(26) is due to ongoing MFG changes between 13-14 and 14-15.

Worked Example of Illustrative Allocation for 2014/15 based on New Criteria (as shown in Appendix A - Primary)						
Col	FACTOR		Calculation	No Units	Unit Rates	Allocation
2	AWPU	R-Yr 6	No pupils x unit rate	351.00	3,421.72	1,201,025
3	FSM		No pupils eligible for FSM x unit rate	99.00	1,252.90	124,037
4	IDACI	5	No pupils in IDACI range x unit rate	65.19	115.10	7,503
		6		56.16	116.04	6,517
5	AEN/SEN		No Pupils scoring below 73 in the EYFSP x unit rate	80.66	704.90	56,860
6	Mobility		No Pupils over 10% mobility x unit rate	3.90	553.36	2,158
7	LAC		No LAC x unit rate	1.07	1,208.40	1,293
8	EAL		No EAL x Unit rate	91.00	395.75	36,013
9	Lump Sum		Equal Allocation to all schools		162,000.00	162,000
10	PFI		Actual Costs		0.00	0
11	Rates		Actual Costs		3,155.70	3,156
12	Total pre MFG		Indicative Formula Allocation 2014/15 pre MFG: (Total Cols 2 to 11)			1,600,562
13	MFG		Estimated 2014/15 MFG (based on 2012 data)			(17,378)
14	Total post MFG		IndicativeTotal Formula Allocation: (Col 12+ Col 13)			1,583,185
15	2013/14 Actual Budget		Total Formula allocation 2013/14 as notified March 2012			1,562,229
16	Total Variance		Indicative Allocation 14/15 less 13/14 Allocation: (Col 14- Col 15)			20,956
17	PUPIL PREMIUM	Ever 6	Ever 6 FSM Eligibility (@ October 2012 census) x increase in pupil premium rate for 2014/15	149.81	400.00	59,923
18		Allocation				
19	OVERALL FUNDING VARIANCE		Total Variance in budget for changes in new criteria, ongoing effect of MFG & Increase in pupil premium: (Col 16+ Col 17)			80,879
20	% Mobile Pupils		Total % mobile pupils (used in 2013/14 allocation)			11%
21	% Mobile Pupils > 10%		If > 10% % of mobile pupils for indicative 2014/15 allocation			1%
22	Mobility 13/14 Allocation		Mobility Allocation in 2013/14 Budget			19,637
23	Funding on new factors		The total mobility funding now allocated on other factors: Mobility, FSM, IDACI & AWPU and included in above			15,794
24	Mobility Funding Variance		Difference in funding on new factors compared with 2013/14 allocation: (Col 23 - Col 22)			(3,843)
25	LAC		Funding for additional pupils triggering factor (now for at least one day)			0
26	Variance due to criteria/factor changes		Total variance in due to changes mobility & LAC (col 24 + col 25)			(3,843)

Notes:

1. Pupils and associated data based on October 2012 Census. The actual allocations for 2014/15 will be based on October 13 Census
2. The AWPU is total pupils on roll (Oct 2012) less any pupils on roll in unit/ARP (Oct 12). Please note that in 2014/15 reduction in pupils on roll for AWPU allocation will be based on the number of agreed places rather than actual numbers of pupils in
3. The difference between the variances in Col 16 & col 26 is due to ongoing MFG changes between 13/14 & 14/15
4. Figures in Brackets are minus figures

If you have any queries on the indicative allocation or worked example please contact Yvonne Medlam or Louise Mcnamara

Worked Example of Illustrative Allocation for 2014/15 based on New Criteria (as shown in Appendix A - Secondary)						
Col	FACTOR		Calculation	No Units	Unit Rates	Allocation
2	AWPU	KS3	No pupils x unit rate	633.00	4,334.80	2,743,925
		KS4		422.00	4,762.77	2,009,889
		6th Form		256.00	248.31	63,567
3	FSM		No pupils eligible for FSM x unit rate	227.78	1,771.22	403,455
		4		175.83	50.35	8,853
4	IDACI	5	No pupils in IDACI range x unit rate	182.83	65.46	11,967
		6		76.93	100.70	7,747
5	AEN/SEN		No Pupils scoring below 73 in the EYFSP x unit rate	301.58	996.48	300,518
6	Mobility		No Pupils over 10% mobility x unit rate	0.00	1,107.70	0
7	LAC		No LAC x unit rate	6.95	1,208.40	8,395
8	EAL		No EAL x Unit rate	22.04	1,202.19	26,498
9	Lump Sum		Equal Allocation to all schools		162,000.00	162,000
10	Split Site		Allocation for schools with Split Site		164,086.00	0
11	PFI		Actual Costs		0.00	0
12	Rates		Actual Costs		227,087.50	227,088
13	Total pre MFG		Indicative Formula Allocation 2014/15 pre MFG: (Total Cols 2 to 11)			5,973,902
14	MFG		Estimated 2014/15 MFG (based on 2012 data)			0
15	Total post MFG		Indicative Total Formula Allocation: (Col 12+ Col 13)			5,973,902
16	2013/14 Actual Budget		Total Formula allocation 2013/14 as notified March 2012			6,007,265
17	Total Variance		Indicative Allocation 14/15 less 13/14 Allocation: (Col 14- Col 15)			(33,363)
18	PUPIL PREMIUM	Ever 6	Ever 6 FSM Eligibility (@ October 2012 census) x increase in pupil premium rate for 2014/15	389.75	400.00	155,900
19		Allocation				
20	OVERALL FUNDING VARIANCE		Total Variance in budget for changes in new criteria, ongoing effect of MFG & Increase in pupil premium: (Col 16+ Col 17)			122,537
21	% Mobile Pupils		Total % mobile pupils (used in 2013/14 allocation)			5%
22	% Mobile Pupils > 10%		If > 10% % of mobile pupils for indicative 2014/15 allocation			0%
23	Mobility 13/14 Allocation		Mobility Allocation in 2013/14 Budget			58,653
24	Funding on new factors		The total mobility funding now allocated on other factors: Mobility, FSM, EAL, AEN & AWPU and included in above			49,406
25	Mobility Funding Variance		Difference in funding on new factors compared with 2013/14 allocation: (Col 23 - Col 22)			(9,247)
26	LAC		Funding for additional pupils triggering factor (now for at least one day)			3,622
27	2013/14 Criteria		% pupils achieving below level 4 in English AND Maths			11%
28	2014/15 Criteria		% pupils achieving below level 4 in English OR Maths			29%
29	Variance		Variance between 13/14 & 14/15 criteria			18%
30	Relativity		Ratio Col 28 to Col 27			2.6
31	Actual AEN allocation		2013/14 AEN allocation			285,679
32	Total AEN Funding		The total AEN Funding now on other factors : AEN, FSM & AWPU			317,814
33	Variance		Difference in funding on new factors (Col 32- Col 31)			32,135
35	Variance due to criteria/factor changes		Total variance in due to changes AEN mobility & LAC: (col 25 + col 26 + Col 33)			26,511

Notes:

- Pupils and associated data based on October 2012 Census. The actual allocations for 2014/15 will be based on October 13 Census
- The AWPU is total pupils on roll (Oct 2012) less any pupils on roll in unit/ARP (Oct 12). Please note that in 2014/15 reduction in pupils on roll for AWPU allocation will be based on the number of agreed places rather than actual numbers of pupils in
- The difference between the variances in Col 16 & col 26 is due to ongoing MFG changes between 13/14 & 14/15
- Figures in Brackets are minus figures

If you have any queries on the indicative allocation or worked example please contact Yvonne Medlam or Louise Mcnamara

MUNICIPAL YEAR 2013/2014 REPORT NO. 10

MEETING TITLE AND DATE:
Commissioning Group 10 October 13
Schools Forum 16th October 2013

REPORT OF:
 Director of Finance, Resources &
 Customer Service

Contact officer and telephone number:
 Jayne Fitzgerald 020 8379 5571
 E mail: jayne.fitzgerald@enfield.gov.uk

	Item: 5a
Subject: Schools Budget 2012/13 Outturn Report	

1. EXECUTIVE SUMMARY

1.1. This report provides a review of the schools budget revenue expenditure for 2012/13 which resulted in a year-end balance of £4,907m being available to be carried forward into 2013/14. The reasons for the variances are described below and a summary of the schools' outturn position is also included.

2. RECOMMENDATIONS

2.1 To note the contents of the report

3. BACKGROUND

3.1 The original estimate of DSG due to be received amounted to £277.436m (before academies recoupment) and budget allocations were agreed within this level of resources. Subsequent adjustments resulted in the final DSG being £244.920m as shown in the table below.

	£'000s
Original estimate of DSG	277.436
Higher number of pupils attracted DSG than estimated	0.401
Gross DSG 2012-13	277.837
Academies Recoupment Transfer incl. LACSEG	(32.917)
Total DSG 2012/13	244,920

4. REASONS FOR VARIATIONS FROM ORIGINAL BUDGET

4.1 The following table provides an analysis of the reasons for budget variances and the amounts. A minus sign indicates reduced expenditure or additional income.

Schools & Children's Services	Outturn Budget Variation £'000
Unplaced Pupils Service. The underspend resulted from the relocation of Bowes to the Swan Annexe and a reduction in the number of both continuing students and those being placed at College.	-64
3-4 Year Old Increased Participation This project funding was not required as the work was encompassed into the development of nursery education for disadvantaged two year olds.	-100
Nursery Education Grant. Most of the LA additional nursery classes are now open and the actual numbers were lower than expected meaning that the contingency of £100k was not required.	-110
SEN Recoupment. The underspend is primarily due to two items: 1. Two complex needs clients, who were originally estimated to start in Oct. & Nov did not start (-£109k). 2. The settlement of a long outstanding bill from the N.E. London N.H. Trust for Speech Therapy Services resulted in a lower than budgeted charge (- £89k).	-247
Behaviour Support. The variation is due mainly to underspends within the Swan Behaviour Support Team, including an underspend of £97k due to unbudgeted buyback income and an underspend in the accommodation recharge of £60k	-171
Child Learning Disability. The underspend is due to vacancies during the first part of the year.	-40
ISB Variations In order to provide the additional primary school places, a number of schools opened bulge classes from the February half term. The estimated cost of setting these up was £200k. This sum was offset by a reduction in the LACSEG charge resulting in an overall underspend	-166
Other budgets	-77
Total Departmental Variation - Department	-975

6. ACCUMULATED DSG CARRIED FORWARD

6.1 The following table sets out the cumulative resources available to the schools budget:

		£'000s
Balance brought forward 1 April 2012		3,845
Plus earmarked project carry forwards		251
Allocation of balances to 2012/13 budget		-500
DSG received	244,920	
Plus contribution from balances	500	
Less: Total expenditure	-244,445	975
Balance carried forward at 31 March 2013		4,907

7. SCHOOLS' FINANCIAL POSITION

7.1 The following table summarises the end-of-year position for the schools' revenue and capital balances as at 31st March 2013.

Revenue	Primary	Secondary	Special	Total
Brought forward	12,481,139	3,876,749	1,128,755	17,486,643
Carried forward	10,435,174	4,093,117	1,068,263	15,596,554
Change in balances	-2,045,965	216,368	-60,492	-1,890,089
% Change	-16%	6%	-5%	-11%
Extended Schools				
Brought forward	191,730	0	-	191,730
Carried forward	168,559	648	-	169,207
Change in balances	-23,171	648	-	-22,523
% Change	-12%	n/a	-	-12%
Capital				
Brought forward	1,525,982	886,756	126,880	2,539,618
Carried forward	594,599	1,019,521	72,302	1,686,422
Change in balances	-931,383	132,765	-54,578	-853,196
% Change	-61%	15%	-43%	-34%

It can be seen that whilst the level of revenue balances is still high, both revenue and capital balances have reduced since March 2012. A separate report on the agenda provides details on the balances position for individual schools.

End

MUNICIPAL YEAR 2013/2014 REPORT NO. 11

MEETING TITLE AND DATE:

Commissioning Group 10 October 13
Schools Forum 16 October 2013

REPORT OF:

Director of Finance, Resources & Customer
Service

Contact officer and telephone number:

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E mail: jayne.fitzgerald@enfield.gov.uk

Item: 5b

Subject:

School Balance and Recycling of Balances for Financial Year 2012/13
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1. EXECUTIVE SUMMARY

1.1. This report provides information on balances reported by maintained schools as at 31st March 2013 and the intended use of those balances

2. RECOMMENDATIONS

2.1 The schools forum is asked to note the contents of the report

3. Summary of School Balances 2012/13

School revenue balances at 31 March 2013 totalled **£15.597m** compared to balances brought forward on 1st April 2012 which totalled £17,382m. Details by sector are set out in **Table 1**.

Table 1: Movement in balances from 31st March 2012 to 31st March 2013

Sector	Balances at 31/03/2012	% of Budget	Balances at 31/03/2013	% of Budget	Net Movement in Year
	£'000	2011/12 %	£'000	2012/13 %	£'000
Primary	12,481	9.2	10,435	7.4	-2,046
Secondary	3,772	4.2	4,093	4.4	321
Special	1,129	8.8	1,068	8.1	-61
Total	17,382	7.3	15,597	6.3	-1,785

The overall sector percentage and the school balances range for all schools are detailed in Table 2:

Table 2: Range of School Balances

	Sector Average	Range of balances
Primary	7.41%	-2.6 to 21.1%
Secondary	4.44%	-3.3 to 7.5%
Special	8.10%	4.5 to 10.9 %

Appendix A details the balances on a school-by-school basis.

4. Analysis of Balances

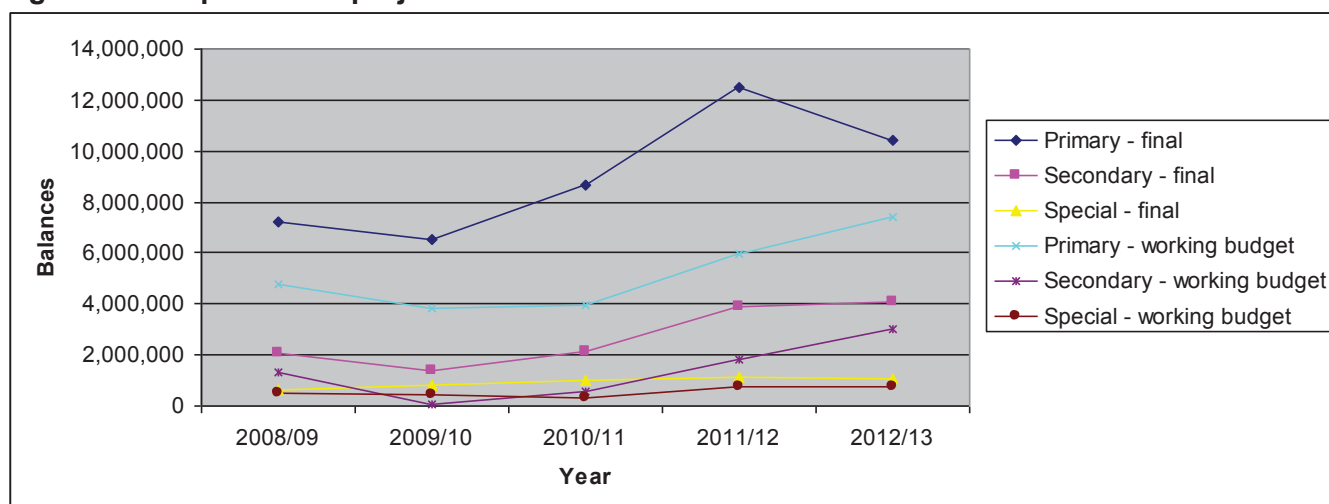
- 4.1 **Table 3** provides details of the balances from 2008/09 to 2012/13 for the three sectors. Balances have risen considerably over this 5 year period, peaking in 2011/12 with a reduction of £1.785m in 2012/13.

Table 3: Comparison of School Balances between 2008/09 and 2012/13

	2008/09		2009/10		2010/11		2011/12		2012/13	
	£000s	%	£000s	%	£000s	%	£000s	%	£000s	%
Primary	7,204	6.3	6,505	5.4	8,682	6.9	12,481	9.2	10,435	7.4
Secondary	2,048	1.8	1,401	1.2	2,140	2.3	3,772	4.2	4,093	4.4
Special	614	5.5	790	6.8	979	8	1,129	8.8	1,068	8.1
Total	9,866	4.1	8,696	3.5	11,801	5.1	17,382	7.3	15,597	6.3

Figure 1 demonstrates that there continues to be a significant variance between the balances projected and actual balances at the end of the year.

Figure 1: Comparison of projected and actual balances



- 4.2 Information from the Section 251 Outturn statements for 2010/11 and 2011/12 has been used to compare year end balances held by Enfield schools with those held by schools in other authorities and the results are set out in Table 4:

Table 4: Comparison of Average All Revenue School Balances

	31-Mar-11		31-Mar-12		Change (Movement)	
	Total Balance (£)	Balance as % Planned Budget	Total Balance (£)	Balance as % Planned Budget	Total Balance (£)	Balance as % Planned Budget
Enfield	11,943,700	4.60%	17,678,376	6.90%	5,734,676	2.30%
Statistical Neighbours Average	15,153,882	5.70%	20,707,058	7.90%	5,553,176	2.20%
LONDON Average	11,153,162	5.60%	14,023,783	8.50%	2,870,621	2.90%
England Average	12,864,790	5.40%	15,286,402	7.20%	2,421,612	1.80%

The table above highlights that overall the average balances held by schools increased from March 2011 to March 2012 for the all the benchmarking groups. No data is yet available to assess whether Enfield's reduction in balances in 2012/13 is reflected across the benchmarking groups.

5. Recycling of School Balances

5.1 Since 2006/7, all Enfield schools have been provided with three year budgets and are required to submit three year expenditure plans, which address the priorities in their School Development Plans and also address surplus and deficit balances.

In line with the Scheme, the Local Authority monitors and challenges schools with large balances. It is, in this context that information is sought annually from schools that hold balances above agreed thresholds as set out in the Scheme of Financing Maintained Schools (the Scheme).

5.2 It is a requirement of the Scheme that:

- accumulated balances above the upper threshold of 10% for primary and special schools and 5.5% for secondary schools be recycled unless the schools concerned have sought written agreement from the Local Authority to retain balances above the upper threshold
- schools report to the Authority on the intended use of balances, where the accumulated balances for primary and special schools exceed 8% of that year's budget share or for secondary schools 5% of that year's budget share. The scheme allows for the recycling of balances if the responses are considered to fall outside of the criteria for retaining such balances, ie they can be used to:
 - support prior year financial commitments
 - fund specific projects which are part of the School Development plan and three year development plan.

The Scheme also stipulates the length of time money can be retained for a particular project. Devolved formula capital and community focussed extended schools' balances are excluded from consideration for recycling.

5.3 Balances above the upper threshold (10% for Primary & Special and 5.5% for Secondary schools)

Table 5 summarises the numbers and values of balances above the upper threshold as at 31st March 2013. In line with the Scheme, all the schools informed the Authority that they would breach the upper limit and the Authority noted and accepted the reasons for retaining balances above the upper threshold.

Table 5: Schools with Balances above the Upper Threshold

Sector	No of schools	% of schools in sector
Primary	10	16.4%
Secondary	4	33.3%
Special	2	33.3%
Total	16	20.3%

5.4 Balances above 8% for Primary and Special schools and 5% for Secondary Schools

For the financial year 2012/13, 27 primary and special schools have accumulated balances of over 8% and 5 secondary schools have accumulated balances of over 5%, as

summarised in **Table 6**. These schools were asked to complete a pro-forma to show how they intended to use these balances. The returns submitted by the schools have been analysed against the criterion included in the Scheme for retaining balances. The assessment of the returns found the use of balances to be in line with the current requirements of the Scheme and therefore no recycling of balances is being recommended.

Table 6: Sector analysis of schools with high balances

	No of Schools	% for the Sector %	Accumulated Balances £000's
Primary	24	39.3	5,831
Secondary	5	41.7	2,985
Special	3	50	684
Total	32	40.5	9,500

6 Updates to the Scheme

- 6.1 Since March 2012, the upper threshold for retaining balances has been lowered each year so that it is much more closely aligned to the threshold of 8% for Primary and Special schools and 5% for Secondary Schools. The thresholds for the upper limit for the coming year, ending March 2014, is 9% for Primary and Special schools and 5% for Secondary schools.

School Balances as at 31.03.13

		Revenue Balance as at 31.03.13	Revenue Balance as % of Budget	Capital Balance as at 31/03/13
			%	
ED0001	ALMA PRIMARY	195,919	7.8	0
ED0002	BOWES PRIMARY	47,977	1.7	(1)
ED0003	BRETENHAM PRIMARY	190,375	7.8	2
ED0069	BRIMSDOWN PRIMARY	222,034	6.3	10,008
ED0006	BUSH HILL PARK PRIM	226,836	6.9	0
ED0007	CAPEL MANOR PRIMARY	288,557	21.1	17,320
ED0008	CARTERHATCH INF	77,617	3.8	0
ED0009	CARTERHATCH JNR	72,065	3.5	11,411
ED0010	CHASE SIDE PRIMARY	157,688	7.6	447
ED0068	CHESTERFIELD PRIM	128,994	2.9	0
ED0013	CHURCHFIELD/HOUNDSFIELD	985,031	15.8	23,613
ED0015	DE BOHUN PRIMARY	90,705	4.9	1,249
ED0016	EASTFIELD PRIMARY	214,486	8.7	15,639
ED0017	ELDON INF	99,339	3.8	22,848
ED0018	ELDON JNR	140,747	4.7	50,970
ED0019	EVERSLEY PRIMARY	163,516	7.5	14,305
ED0020	FIRS FARM PRIMARY	289,306	12.4	38,615
ED0021	FLEECEFIELD PRIMARY	195,314	7.8	3,097
ED0022	FORTY HILL PRIMARY	14,901	1.4	1
ED0023	FREEZYWATER ST GEORGES	109,229	9.7	12,560
ED0024	GALLIARD PRIMARY	192,114	5.6	993
ED0025	GARFIELD PRIMARY	188,775	10.3	31,580
ED0026	GEORGE SPICER PRIMARY	171,385	7.5	0
ED0027	GRANGE PARK PRIMARY	103,317	4.0	0
ED0028	HADLEY WOOD PRIMARY	47,686	4.8	38,894
ED0029	HAZELBURY INF	220,016	8.0	0
ED0030	HAZELBURY JNR	260,425	9.0	5,163
ED0031	HAZELWOOD SCHOOLS	267,163	9.3	1
ED0033	HIGHFIELD PRIMARY	238,235	9.8	0
ED0034	HONILANDS PRIMARY	138,647	4.8	661
ED0066	KEYS MEADOWS	122,999	5.1	0
ED0036	LATYMER ALL SAINTS	281,835	9.4	1
ED0037	LAVENDER PRIMARY	180,229	7.3	20,326
ED0038	MERRYHILLS PRIMARY	209,150	9.9	57,387
ED0039	OAKTHORPE PRIMARY	283,983	11.8	17,448
ED0040	OUR LADY OF LOURDES	117,527	12.5	0
ED0041	PRINCE OF WALES PRIM	263,331	9.3	522
ED0043	RAGLAN SCHOOLS	260,428	7.1	0
ED0044	RAYNHAM PRIMARY	141,884	3.8	31,458
ED0045	SOUTHBURY PRIMARY	119,130	5.0	1,479
ED0046	ST ANDREWS ENF PRIM	27,585	1.5	0
ED0047	ST ANDREWS SGT PRIM	68,281	7.0	0
ED0048	ST EDMUND'S PRIMARY	201,529	10.6	0
ED0049	ST GEORGES PRIMARY	198,543	7.7	0
ED0050	ST JAMES ENF PRIM	96,961	9.6	0
ED0051	ST JOHN & ST JAMES	134,215	8.1	17,332
ED0052	ST JOHNS ENF PRIM	55,749	9.6	1
ED0053	ST MARYS PRIMARY	179,097	9.9	3,451
ED0054	ST MATTHEWS PRIMARY	(33,678)	(2.6)	(210)
ED0055	ST MICHAEL AT BOWES	164,771	10.6	0
ED0056	ST MICHAELS ENF PRIM	147,204	11.0	2,312
ED0057	ST MONICAS PRIMARY	53,880	3.3	0
ED0058	ST PAULS PRIMARY	123,626	7.5	0
ED0067	STARKS FIELD	116,635	5.3	10,908
ED0059	SUFFOLKS PRIMARY	226,182	11.9	23,400
ED0060	TOTTENHALL INF	104,794	6.1	19,445
ED0061	WALKER PRIMARY	71,481	4.3	58,764
ED0062	WEST GROVE PRIMARY	180,156	8.5	13,935
ED0063	WILBURY PRIMARY	447,690	9.8	327
ED0064	WOLFSON HILLEL PRIMARY	86,084	4.6	0
ED0065	WORCESTER PRIMARY	65,494	3.0	16,934
ED0303	BISHOP STOPFORD	227,148	4.0	2,587
ED0304	BROOMFIELD	585,063	7.5	97,954
ED0305	CHACE COMMUNITY	270,383	3.4	156,676

		Revenue Balance as at 31.03.13	Revenue Balance as % of Budget	Capital Balance as at 31/03/13
			%	
ED0306	EDMONTON COUNTY	491,159	5.1	28,371
ED0307	ENFIELD COUNTY	286,316	4.5	124,605
ED0309	HIGHLANDS	(14,132)	(0.2)	(2,265)
ED0311	LATYMER	124,407	1.8	0
ED0312	LEA VALLEY HIGH	668,575	7.0	149,398
ED0314	SOUTHGATE	801,365	9.2	141,710
ED0315	ST ANNES	438,478	7.3	60,000
ED0316	ST IGNATIUS	(198,229)	(3.3)	26,397
ED0317	WINCHMORE	412,582	4.7	234,088
ED0351	AYLANDS	76,661	6.2	21,094
ED0352	DURANTS	196,451	8.2	29,302
ED0353	OAKTREE	86,324	4.5	1
ED0354	RUSSET HOUSE	241,043	10.9	21,905
ED0355	WAVERLEY	221,226	7.1	0
ED0356	WEST LEA	246,558	10.6	0
	Primary	10,435,174	7.41	594,599
	Secondary	4,093,117	4.44	1,019,521
	Special	1,068,263	8.10	72,302
	Total	15,596,554	6.3	1,686,422

MUNICIPAL YEAR 2013/2014 REPORT NO. 12

MEETING TITLE AND DATE:
Commissioning Group 10 October 13
Schools Forum 16 October 2013

REPORT OF:
 Director of Finance, Resources &
 Customer Service

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	Item: 5c
Subject: School Budget 2014/15 Update	
Wards: All	

1. EXECUTIVE SUMMARY

This report provides information on the issues which will influence the schools budget for 2014/15 and summarises the proposals for amending the local funding formula in order to meet DfE requirements as summarised in the Consultation Report.

The position will be updated at future meetings to provide an indication of the anticipated financial effect of these issues when all the necessary information is available as detailed below.

- Indicative data from the October 13 census which is due in early November
- Confirmed dataset based on October 13 Census which is due from the EFA on 16th December
- Confirmed DSG allocation for 14/15 due from EFA on 16th December
- Assessment of pressures on HNB identified through budget monitoring
- Full year effect of new responsibilities for post 16 SEN students in FE establishments (effective from Sept 13)
- Ensuring all DSG budget pressures have been identified

2. RECOMMENDATIONS

To note and comment on the information contained in this report.

3 DEDICATED SCHOOLS GRANT

The final government funding announcements for 2014/15 have not yet been made but we know that the Dedicated Schools Grant (DSG) funding settlement will be on a flat cash basis. This means that the funding unit per pupil for the Schools Block and Early Years Block will be the same as 2013/14. These unit rates were based on the overall 2012/13 level of funding. As soon as we have initial data from the October 2013 census this will enable us to estimate the level of DSG funding we will receive.

In 2013/14, as part of the changes to school funding the DfE removed the 90% funding threshold for 3 and 4 year olds. In Enfield the value of this protection has

been £2.5m. In 2013/14, as part of a one off transitional process, the DfE provided protection equating to 50% of the 2012/13 amount which for Enfield equated to £1.26m. This formed part of the 2013/14 DSG allocation. From 2014/15 onwards 3 and 4 year olds will be funded fully on participation so Enfield will lose this protection.

The arrangements regarding the level of funding for the High Needs Block (HNB) have yet to be confirmed but are expected to be on the same basis as 2013/14. We have not yet had confirmation of the funding arrangements for any increase or decrease in pupil places. The DSG allocation in respect of post 16 high needs pupils in FE colleges and Independent and Specialist providers (ISPs) was for the period September 2013 to March 2014. A full year effect of this funding is expected in the 2014/15 allocation.

4. USE OF RESERVES

At the end of 2012/13 £4.907m was available in the accumulated DSG reserve. The financial monitoring position for the current financial year is still being assessed, particularly with regard to the impact of the authority's new responsibilities for post 16 pupils in FE colleges and ISPs which were implemented in September 2013. Any overspend in the current financial year will be charged against this reserve. It is prudent to retain part of the reserve against the risk of any future overspends and part can be used to fund one off projects. During the budget process consideration will need to be given to the appropriate amount to be used in the 2014/15 budgets and for which purpose.

The Authority has approached the DfE to explore the possibility of excluding any one off funding from the carry forward, which is used in 2014/15 school budget shares, from the MFG baseline. This is to prevent one off funding being locked in future years' budget shares by the MFG. The DfE have agreed to this in principle for 2014/15 but have not given any commitment for future years. It will therefore be necessary to exercise some caution in the use of the carry forward.

5. SCHOOLS BLOCK

Following the introduction of the new funding formula arrangements introduced in 2013/14 the DfE have undertaken a review to assess whether any changes were needed in 2014/15 to move closer to a national funding formula and to rectify any unintended consequences of the new arrangements. The outcome of the review was that the majority of the arrangements introduced in 2013/14 would continue with minor amendments to requirements and criteria as detailed below

- Pupil led funding to be at least 80% of total
- Minimum AWPU's for primary and secondary
- Reduced threshold for lump sum
- Option to have different lump sums in primary and secondary
- Changes to attainment criteria
- Mobility factor to target schools with >10% mobility
- One measure for LAC

The effect of the changes has been modelled and the outcome has been circulated to schools for consultation. A separate report details the results of the consultation and proposed changes

An update on central budget areas and de-delegation arrangements for 2014/15 will be provided in a later report.

6. EARLY YEARS BLOCK

At this stage the DfE have not announced any changes to the arrangements for three and four year olds accessing the free nursery entitlement.

The target for early education for 2 year olds is increasing to 2,200 in 2014/15.

7. HIGH NEEDS BLOCK

The DfE have confirmed that there will not be any significant changes to the arrangements for the High Needs Block in 2014/15 to allow 2013/14 arrangements to be become embedded. The following points should however be noted

- With effect from 2014/15 there will be a national requirement that the level of school's contribution towards each exceptional needs pupil should be set at £6k. In Enfield the funding formula already allocates funding at this level
- It has been announced that the Funding Policy Unit, DfE and EFA will ensure that pre and post 16 arrangements are better aligned which is welcomed as the process in the 2013/14 budget setting process was very disjointed causing delays and confusion
- The DfE have confirmed that for schools with special units (ARPs or Language Units) the pupil numbers used to calculate their budget share will be based on the pupil numbers on roll as recorded on the October 13 census less the number of places agreed for the special units. This adjustment was previously based on the number of pupils on roll at the special unit.

The new funding arrangements for special units introduced in 2013/14 will be reviewed during the 2014/15 budget process and the outcome of the review will form part of a later report.

8 PRESSURES & RISKS

8.1 Demographic

- Significant increase in the number of primary places
- The ongoing effects of Primary Expansion Programme on Growth Fund including possibility of emergency/temporary provision.
- Increasing numbers in early years settings
- Consideration of protection arrangements for some secondary schools with falling rolls
- Implications of increasing pupil numbers on other factors used in allocating formula
- Increase in NNDR costs due to school expansions

8.2 Inflation

In 2013/14 we were able to use headroom within the budget to increase unit rates by 0.7% as a contribution to pay and price increases. Any contribution towards inflationary pressures in 2014/15 including full year effect of 2013/14 pay awards, 2014/15 pay awards and other price increases will be considered as part of the

budget setting process. It should be highlighted, however, that the DSG funding per pupil continues as 'flat cash' with no increases for inflation and there is an expectation from the DfE that any inflationary pressures are funded from efficiency savings within schools.

8.3 Other

- Variations in expenditure arising from the review of the school funding formula to take account of changes in criteria being introduced for some factors in 2014/15
- Full year effects and embedding of new arrangements for funding high needs pupils in all settings
- Any funding adjustments for 2013/14 to be made in 2014/15 as the new regulations do not permit any in year adjustments e.g. additional NNDR charges following in year re-valuations
- Consideration of options for the use of any headroom, if available, or savings if required
- Impact of academy transfers, if any
- That the information issued by the EFA is accurate and received on time.

9 PUPIL PREMIUM

In addition to formula budget allocations from the DSG, pupil premium grant allocations affects the total amount of funding available to schools, although it is outside the control of the Council and the Schools Forum.

The DfE have announced that in 2014/15 the primary rate will increase from £900 to £1,200 per eligible pupil. Information about the secondary rate had not yet been announced but it is expected to increase by a similar amount. On 1 October 2013, the DfE published a press release rate announcing that Looked after Children would receive £1,900 per pupil.

10. NEXT STEPS

Dates	Action
3 October 13	Pupil Census
10 October 13	Budget Update to Commissioning Group
16 October 13	Budget Update to Schools Forum
30 October 13	Submit provisional pro forma on local funding arrangements to EFA ¹
Early November	LAs indicative data from October 13 Census
27 November 13	Schools Census database closed
6 December 13	Budget Update to Commissioning Group
11 December 13	Budget Update to Schools Forum
16 December 13	EFA to confirm DSG allocation and October 13 dataset to LA
16 January 14	PLASC ²
10 January 14	Budget Update to Commissioning Group
15 January 14	Budget Update to Schools Forum
21 January 14	Submit final pro forma on local funding arrangements to EFA
March 14	Delegate budget shares to maintained schools

¹ EFA – Education Funding Agency

² PLASC – Pupil Level Annual School Census

Expression of Interest to run an Additionally Resourced Provision (ARP) Specialist provision for Primary pupils with Social Emotional and Behavioural Difficulties (SEBD)

We are inviting **initial** expressions of interest from schools who:

- ❖ strongly uphold principles of inclusion
- ❖ would be inspired by the prospect of working in partnership with the Primary Behaviour Support Service, and
- ❖ could feel committed to becoming an integral part of a supportive Local Authority network offering provision to pupils experiencing Social, Emotional and Behavioural Difficulties (SEBD)
- ❖ have capacity to run Additional Resource Provision in their school

School-based provisions

The Schools Forum have agreed the funding for a mainstream school-based additional resource provision bases which would offer:

- Specialist provision offering **up to five** places for pupils with statements whose primary need is SEBD, and who have an identified potential for re-integration into mainstream classes. Admission to these places would be through the SEN Panel.
- A further capacity for up to three 'casual' / temporary places for 'internal' pupils and/or
- Temporary Day 6 provision to permanently excluded pupils as directed by the Behaviour Support Service.

Operation, Governance and Funding of the ARP

The ARP will operate under the principles of the LA's Policy, Operational Procedures and Service Level Agreement. Pupils will be registered on the school's roll and the school will be responsible for its governance. The LA will provide funding appropriate to its operation.

Joint Partnership with the Primary Behaviour Support Service

Schools providing SEBD ARP provision would work in partnership with the Primary Behaviour Support Service (PBSS).

The PBSS will provide the benefit of their specialist experience to support the ARP partnership i.e. it will:

- help establish workable systems and structures to support effective positive behaviour,
- assist in the implementation of an effective 'Personal Skills Curriculum', which has supported pupils attending Addison House to successfully re-integrate.
- support the development of effective assessment, tracking and evaluation protocols and systems
- provide ARP staff with continuous supervision, consultation and professional development training

Partnership with a wider LA network - Primary Fair Access Panel (FAP)

Representatives from the school running the ARP will sit on the monthly Primary Fair Access Panel. Enfield's Primary permanent exclusion figures remain persistently-low and panel members support school's preventative actions. The Panel determines named-schools for permanently excluded pupils, and will support the monitoring of SEBD placements.

Enfield Mainstream Primary Schools

Primary Behaviour Support Service (PBSS) multi-disciplinary team

This is a commissioned LA service playing a central role in the strategic response to the increasing numbers and intensity of Enfield's pupils experiencing SEBD; pupils vulnerable to exclusion and to significant underachievement. The PBSS support by:

- Playing a Strategic partnership role with mainstream SEBD ARP provision
- Providing early and preventative intervention via a wide range of Outreach support to Enfield mainstream primary schools
- Offering an intensive preventative programme on-site at Addison House, to minimise permanent exclusion and support reintegration

Addison House (an integrated part of PBSS - commissioned LA service)

- Offering intensive, preventative, reintegration programmes over 2 terms for non-statemented pupils at risk of permanent exclusion identified via PBSS outreach work and sanctioned by the Primary Fair Access Panel
- x4 places available at each stage of the rolling programme = **x12** places over each academic year

Primary SEBD – Additional Resource Provision (ARP)

The two provisions will work in partnership

- Specialist provision offering **4/5** places for pupils with statements for SEBD, who have an identified propensity for re-integration into mainstream classes
- Capacity for up to an additional x3 'casual' places for 'internal' pupils and/or temporary Day 6 permanently excluded pupils, dual-registered with a named school

Aylands – Special EBD School providing onsite places for statemented KS2 pupils presenting 'exceptional' SEBD

**School Applications for
Additional Resource Provision (ARP) funding -
Specialist provision for Primary pupils with
Social Emotional and Behavioural Difficulties (SEBD)**

In order to lodge an initial expression of interest, please complete the following form and submit to: James.Carrick@enfield.gov.uk and Office.BSS@enfield.gov.uk

School Name:

State your interest in providing this shared resource:

Identify any accommodation implications for your school:

Many thanks for your time and interest.



Dugald Sanderman, Maintained
Schools Division, DfE

Please reply to : Sangeeta Brown
Resources Development Man.
8th Floor, Civic Centre
Silver Street
Enfield, EN1 3XA

cc Beverley Pennekett, Funding
Reform Team

E-mail : Sangeeta.brown@enfield.gov.uk

Phone : 020 8379 3109

Textphone :

Fax :

My Ref :

Your Ref :

Date : 4 October 2013

Dear Dugald

RE: SCHOOL FUNDING REFORMS – 2014/15

We are in receipt of the document School Funding Reforms: Arrangements and changes for 2014-15 and my officers are currently assessing the various changes being proposed for 2014-15 to ensure they are included within our local arrangements.

Whilst we support most of the changes being proposed, we are concerned the falling roll factor is only available for schools which are classed by OFSTED as good or outstanding. We feel the suggestion that this factor would be used to prop up unpopular or failing schools is unfair and does not take sufficient account either of work being done with the school to improve its status or of the need to plan for increasing pupil numbers in the medium term.

Under the previous funding arrangements, we employed a formula factor to protect schools in this position. Each year the threshold for protecting schools was assessed to ensure that it was realistic and addressed overall requirement for pupil places and was not supporting schools unnecessarily. In relation to pupil place planning, we have good arrangements in place which assess current and future needs for pupil places and ensure we meet our statutory duty to offer every child and young person with a school place.

We currently have two maintained schools and an academy with falling rolls but which are not classed by OFSTED as good or outstanding. As a local authority we consider they all need to be supported in the short term to manage the drop in numbers. A key factor in this is that our pupil projections predict extensive growth in pupil numbers for the primary sector and a short time decline in the secondary sector which has led to the position these

schools are currently facing. However it is projected that this position will reverse from 2017.

Given the increase the Borough will be facing over the medium term, we seek the support of the Department in agreeing the use of this factor for all our schools currently facing financial instability due to falling rolls. We have discussed this situation with our Schools Forum and they are of the view that schools in this position should be supported.

We would be happy to discuss this matter further with you and, if required provide supporting information relating to this Borough.

We look forward to hearing from you soon.

Yours sincerely,



Andrew Fraser
Director of Schools & Children's Services



Brian Grayston
Chair of Enfield Schools Forum

MUNICIPAL YEAR 2013/2014 REPORT NO. 15**MEETING TITLE AND DATE:**

Schools Forum – 16 October 2013

REPORT OF:

Director of Schools & Children's Services

Contact officer and telephone number:

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Agenda – Part:	Item: 7
Subject: Schools Forum: Workplan	
Wards: All	

Recommendation

To note the workplan.

Meetings		Officer
May 2013	Welfare Benefit Reforms Audit Arrangements Review of School Efficiencies (Information) Scheme for Financing (Information)	KR SB SB SB
July 2013	School Funding Review (2013/14) School Funding Arrangements (2014/15) Trade Union Facilities Funding Additional Resources Provision (Information)	SB SB SF JT
October 2013	Schools Budget: 2014/15: Update Responses to consultation on School Funding Arrangements (2014/15) Outturn Report 2012/13 Schools Balances 2012/13 Additionally Resourced Provision Letter to DfE re Falling Rolls	YM SB JF SB SB SB
December 2013	Schools Budget: 2014/15: Update Local Authority Budget (2014/15) Pupil Places strategy Additionally Resourced Provision	YM ES NB SB
January 2014	Schools Budget: 2014/15: Update	YM
February 2014	School Budget 2014/15: Update Scheme for Financing Schools Enfield Traded Services to Schools	YM SB SB
May 2014		

Dates of Meetings

Date	Time	Venue	Comment
9 May 2013	5.30pm – 7.30pm	St Pauls	
3 July 2013	5.30pm – 7.30pm		Training Session - Cancellor
11 July 2013	5.30pm – 7.30pm	St Pauls	
16 October 2013	5.30pm – 7.30pm		
11 December 2013	5.30pm – 7.30pm		
15 January 2014	5.30pm – 7.30pm		

February 2014	5.30pm – 7.30pm
May 2014	5.30pm – 7.30pm